

**PH.D. DEGREE IN THE FACULTIES OF ARTS, LANGUAGES,  
EDUCATION, SCIENCE AND DESIGN & FINE ARTS**

**1.1. (a)** A candidate for the degree of Doctor of Philosophy in the Arts, Languages, Education, Science and Design & Fine Arts Faculties should have obtained from the University the Master's degree ordinarily in the first or second class.

Provided that –

1. In the case of Ph.D. in Education, the Master's degree shall mean Master's degree in Education (M.Ed.);
2. In the case of Ph.D. in Library Science, the Master's degree shall mean Master's degree in Library Science (M.Lib.Sc.);
3. In the case of Ph.D. in Physical Education, the Master's degree shall mean Master's degree in Physical Education; and
4. The Academic Council may approve any other degree of a University equivalent to the Master's degree.

**(b)** A candidate for the degree of Doctor of Philosophy in Women Studies should have obtained from the University the Master's degree in the first and second class in any Faculty.

**1.2.** The following Categories of candidates who may have obtained from another University a Master's degree, ordinarily in the first (atleast 60 per cent marks) or second class (atleast 50 per cent marks) and approved by the Academic Council may also be considered for enrolment :

- (a) Members of the teaching staff in -
  - (i) a teaching Department of the University and Post-Graduate Departments of a Regional Centre of this University;
  - or
  - (ii) a college affiliated to this University.
- \***(b)** Teachers/Headmasters/Principals working in recognised High/Higher Secondary Schools falling within the jurisdiction of this University;
- (c) Research students enrolled in a Teaching Department of the University Home Science College concerned;
- (d) Persons pursuing research at Chandigarh under the supervision of a Teaching Department of the University or Post-graduate Department of a Regional Centre of the University.

**1.3.** A candidate who has obtained the Master's degree in third class may be enrolled for the Ph.D. degree with special permission of the Joint Research Board on the recommendation of the Research Degree Committee which shall give reasons for this recommendation.

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\*This will not apply to persons enrolled for Ph.D. in Home Science.

**2.1.** A candidate for Ph.D. in a Science subject shall pursue research at –

(i) A Teaching Department of the Panjab University or a Post-graduate Department of the Regional Centres of the Panjab University;

or

(ii) an approved centre under the supervision of a Teaching Department of the University in case of a candidate of category (c) of Regulation 1.2.

or

(iii) A Laboratory or an Institution approved by the Joint Research Board provided that the applicant has passed his M.A./M.Sc. or M.Phil. from the University;

or

(iv) A Postgraduate Department of a Home Science College, affiliated to the University.

**2.2.** A candidate enrolled in a subject not covered by Regulation 2.1 shall pursue research at the University or at a Centre approved by the Joint Research Board for Ph.D. research for at least 30 weeks. If necessary, the period of work at the University or an approved Centre, may be staggered over three years, provided that at least 12 weeks must be so spent every year. If the period of stay is staggered, the Dean of University Instruction may condone the shortage in the required period of 36 weeks up to a maximum of 6 weeks, but this permission shall be granted only in exceptional cases, if recommended by the Supervisor and the Head of the Department.

**3.1.** A person who wishes to be accepted as a candidate for Ph.D. research, shall, before starting research work, apply through the Head of the University Department concerned/ Principal, Home Science College, concerned on the prescribed form of enrolment with a fee as prescribed by the Syndicate from time to time, which shall not be refundable except when a candidate was found ineligible for enrolment in which case the fee may be refunded after deducting 25 per cent of it.

Provided that –

(i) before enrolment, a candidate should have passed the entrance test for Ph.D. conducted by the University within the time prescribed by the University, in order to satisfy that the candidate has the necessary aptitude for research, with exemptions as decided by the Syndicate from time to time.

(ii) the Synopsis of the thesis will be presented by the candidate in the concerned department before the Research Degree Committee members in the presence of the proposed Supervisor(s). The presentation will be open to the faculty members and research students of the department. After presentation of the synopsis by the candidate, the Research Degree Committee shall decide the matter.

**3.2.** Application on the prescribed form for enrolment shall be considered by the University thrice in a year as under :

(a) From July 15 to July 31

- (b) From November 15 to November 30, and
- (c) From March 15 to March 31.

**3.3.** A person who holds a Panjab University or a University Grants Commission scholarship or of any other such body shall, on being enrolled, be exempted from payment of monthly fee. Other persons, on enrolment, shall pay a fee per mensem as prescribed by the Syndicate from time to time, to the University provided that exemption may be allowed in accordance with the Rules, as may be framed by Syndicate.

**3.4.** Within one year of the date of enrolment, the candidate shall apply through the Chairman/Head of the University Department concerned/Principal, Home Science College for registration. Extension up to six months may be granted by the Dean of University Instruction on the recommendation of the Chairman/Head of the University Department concerned/Principal, Home Science College concerned. The application for registration will contain –

- (a) a tentative title of thesis or broad area of work; and
- (b) a tentative design of research project (synopsis).

If a candidate fails to submit the registration form, including tentative title of the thesis and synopsis, within the prescribed period of one and a half years, the Chairperson/Head of the Department/Principal of Home Science College, may give specific reasons for consideration of the Joint Research Board which may grant six months second extension beyond one and a half years (normal period of one year plus six months' extension) in the submission of registration form, tentative title of the thesis and synopsis, on payment of fee prescribed by the Syndicate/Senate from time to time.

**3.5.** (a) A candidate would be required to submit the registration form, tentative title of the thesis and synopsis to the Chairperson of the Department concerned, who, in turn, would forward the same to the University Office within a week of its receipt.

(b) In case a candidate does not submit the registration form, tentative title of the thesis and synopsis to the Chairperson of the Department within a period of two years, his/her enrolment be treated as cancelled automatically. No separate intimation would be sent to the candidate.

**4.1.** Before forwarding the application required in Regulation 3.4, Head of the Department Principal Home Science College will satisfy himself after proper scrutiny and test, including viva voce, that the candidate has adequate competence for work in his field of study. The Head of the Department/Principal, Home Science College shall satisfy himself that the subject offered is one which can be pursued with profit.

**4.2.** While forwarding the application, Head of the Department/Principal, Home Science College shall also recommend a suitable supervisor to guide the applicant in his research work. He may, if he considers necessary, recommend joint supervisors.

**4.3.** Joint Supervisors may be appointed, on the request of the candidate and the Supervisor, from the Teaching Departments and Postgraduate Regional Centres of the Universities recognized by the U.G.C. and also from the national/autonomous institutions, national/autonomous organizations and national/autonomous laboratories to be certified by the Chairperson of the

concerned Department, provided they fulfil the following conditions :-

- (a) hold the degree of Ph.D. with published research work, such as books, articles or research papers in refereed research journals; and
- (b) evidence of having been engaged in research after Ph.D.

No fee shall be charged from the concerned institutions, etc. for the above purpose.

5.1. (a) All applications for registration as well as approval of the title, etc. (Reg. 3.4) after scrutiny by the Registrar's Office, shall be forwarded for/consideration of Research Degree Committee concerned which, in each subject except Home Science shall consist of :-

- (i) Dean of Faculty;
- (ii) Head of the University Department in the subject concerned and the Professor or Professors if any;  
Provided that the Director-Professor. V. V. B. I. S. & I. S., Hoshiarpur, shall also be a member of the Research Degree Committee in Sanskrit;
- (iii) Convener of Board of Studies in the subject concerned;
- (iv) Two Readers in the subject concerned by rotation according to seniority to be nominated by the Vice-Chancellor;
- (v) Two Lecturers in the subject concerned by rotation according to seniority to be nominated by the Vice-Chancellor;
- (vi) A Professor of an allied subject appointed by the Vice-Chancellor.  
Provided that the Members under (ii). (iii) (iv), (v) and (vi) shall possess Ph.D. Degree in the concerned subject or have experience of guiding research at the doctoral level.

(b) All applications for registration as well as approval of the title, etc. (Reg. 3.4) after scrutiny by the Registrar's Office, shall be forwarded for consideration of Research Degree Committee in Home Science which shall consist of :-

- (i) Dean of Faculty;
- (ii) Principal, Home Science College;
- (iii) Convenor of Board of Studies in Home Science;
- (iv) Two Readers from allied Departments of this University or of another University to be nominated by the Vice-Chancellor from a panel recommended jointly by the Principal Home Science College concerned and the Dean of University Instruction;
- (v) Two Lecturers in the subject of Home Science by rotation according to seniority to be nominated by the Vice-Chancellor;
- (vi) A Professor of an allied subject appointed by the Vice-Chancellor.

Provided that the Members under (iv), (v) and (vi) shall possess Ph.D. Degree in the concerned/or related subject or have experience of guiding research at the doctoral level.

However, in exceptional cases, the Vice-Chancellor/Syndicate may waive this condition for reasons to be recorded.

5.2. Every Research Degree Committee shall be appointed in December in alternate year and its members shall hold office from January 1.

6. The Research Degree Committee shall consider such application and recommend whether, in their opinion-

- (a) the subject proposed for research; and
- (b) the supervisor/s recommended;

are suitable and, if so, they may recommend approval of the same. If, however, the committee considers that the subject and/or the supervisor are not suitable they may either reject the application or may suggest suitable changes for reasons to be recorded.

7.1. The title of thesis and the supervisor recommended by Research Degree Committee shall be considered by the Joint Research Board which shall consist of-

- (i) Dean of University Instruction as an ex-officio Chairman;
- (ii) Deans of the Faculties of Arts, Languages, Science, Education and Design & Fine Arts;
- (iii) \*Heads of University Teaching Departments in Arts, Languages, Science, Education and Design & Fine Arts Faculties, which have facilities for M.A. teaching and/or Ph.D. research; and
- (iv) Heads of such other Departments as are approved by the Academic Council for this purpose; and
- (v) Five senior faculty members of the Departments in the Faculties of Arts, Languages, Education Science and Design & Fine Art and Home Science College/s to be nominated by the Vice-Chancellor.
- (vi) Principal Home Science College/s.

Provided that the members under this clause shall be nominated in December of every alternate year and shall hold office from January 1.

The Joint Research Board shall have power to co-opt any other expert for a particular meeting. The decision of this Board shall be final.

7.2. The Joint Research Board will decide whether or not the applicant be registered.

8.1. Every candidate shall pursue his research work for three years from the date of his enrolment. Thereafter, he shall submit the thesis at the expiry of three years.

8.2. The Joint Research Board may, on the recommendation of the Research Degree Committee, for reasons to be recorded, grant exemption for a period not exceeding one year.

9. A candidate may apply to the Joint Research Board through the Head of the Department/Principal Home Science College concerned and Research Degree Committee for permission to modify the title of his thesis.

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\*In addition to the Head of the Panjab University Sanskrit Department, Director, Professor, V.V.B.I.S. & I.S., Hoshiarpur, shall also be a member of Joint Research Board.

**10.** The Head of the University Department/Principal Home Science College, in association with the Supervisor concerned shall test every candidate, on the expiry of one year from the date of enrolment, in order to determine whether the candidate should be allowed to continue his research work. If a candidate is found unfit, his enrolment shall be cancelled.

**11.1.** Every candidate shall report in writing, at the end of every six months, to the Supervisor the work done by him during the six months. The Supervisor will take such action on his report as he considers suitable depending on the nature of the report and other circumstances of the case.

The progress report from the date of enrolment, duly signed by at least one of the Supervisor(s)/proposed Supervisor(s)/Joint Supervisor(s), as the case may be, shall be submitted by the candidate to the Chairperson of the Department concerned and the same shall be placed before the Academic Committee of the Department. A copy of the proceedings of the Academic Committee be sent to the University Office for record. The Chairperson of the Department shall ensure that the progress reports are submitted regularly. In case of non-receipt of two consecutive progress report, the matter to be placed before the Academic Committee for consideration and recommendation to the Joint Research Board for cancellation of enrolment and registration of the candidate.

**11.2.** Every supervisor shall submit report on the candidate's research work twice a year, viz. -

- (a) Between October 16 and 31,
- (b) Between April 16 and 30.

Reports of supervisors in all cases shall be submitted through the Head of the Department/Principal, Home Science College to the Joint Research Board for information and any action that the Board may consider necessary.

**11.3.** In the event of a difference arising between a candidate and the Supervisor, the matter shall, in the first instance, be referred to the Head of the Department/Principal, Home Science College. If, however, the Head of the Department/Principal, Home Science College, is unable to settle it, the matter shall be referred to the Dean of University Instruction. If the supervisor happens to be the Head of a Department/Principal, Home Science College, the matter shall be referred to the Dean of University Instruction directly.

When the matter is referred to the Dean of University Instruction, he will take a decision himself, after obtaining, if necessary, the advice of an expert. The decision of the Dean of University Instruction shall be final.

**11.4.** If a supervisor gives unsatisfactory report on the work of a candidate, the position will be considered by the Joint Research Board in order to decide whether the candidate should be allowed to continue his research for the Ph.D. degree.

**12.** After the expiry of one year's research work at the University/Home Science College, a candidate may be allowed by the Head of the Department/Principal, Home Science College to continue his research work at some other approved centre-

- (a) facilities for further work do not exist at the University/Home Science College.

or

- (b) the Head of the Department/Principal, Home Science College is satisfied that it would be in the interest of the candidate to do further research work at such a centre.

Provided that the supervisor may, on scrutiny of the six-monthly reports received from the candidate send for the candidate to assess the progress made by him in his research.

**13.1.** A candidate who is unable to complete research work and thesis within the time allowed by these Regulations may apply through his Supervisor and Head of the Department concerned for grant of extension.

Extension may be granted by the Joint Research Board up to a maximum of two years, i.e. every candidate must submit his thesis on the expiry of a total period of five years from the date of enrolment of application.

Provided that –

- (i) extension shall not be granted for more than a year at a time;
- (ii) every application for grant of extension shall be accompanied by a fee prescribed by the Syndicate/Senate from time to time.

If the thesis is received after the prescribed period of five years, the delay may be condoned by the authorities named below :-

- (i) Up to 3 months – Dean of University Instruction.
- (ii) Up to one year – Joint Research Board.
- (iii) Beyond one year up to three years – Vice-Chancellor on the recommendation of the Joint Research Board, under special and exceptional circumstances to be recorded.

A fee of Rs. 2000 per year or an amount to be decided by the Syndicate/Senate from time to time, shall be charged for condonation of delay in the submission of Ph.D. thesis after expiry of the period of five years from the date of enrolment.

Provided that the maximum time limit for submission of Ph.D. thesis would be eight years from the date of enrolment, i.e. normal period : three years, extension period: two years and condonation period three years, after which enrolment and registration of the candidate shall be treated as cancelled automatically.

**13.2.** If a candidate fails to submit his thesis within the period allowed under Regulation 13.1, his enrolment shall be cancelled but he may be allowed to register himself again with some other subject, or in exceptional circumstances such as prolonged illness extending over several years or exigencies of military service, on the same subject.

**14.** If the supervisor of a candidate who has been doing research work for the Ph.D. degree of another University is employed on the staff of the Panjab University/Home Science College the candidate doing research work under his supervision may be allowed to enrol himself as a candidate for the Ph.D. degree of this University under the same supervisor, subject to fulfillment of these Regulations. Such a candidate shall not submit his thesis until after one year of enrolment in this University.

15. On completion of the research work and in accordance with the period allowed in Regulations 8 and 13 the candidate shall submit four printed or typewritten copies of his thesis and shall comply with the following conditions :

The thesis shall accompany –

- (a) a fee as prescribed by the Syndicate from time to time;
- (b) a certificate from his supervisor (as may be prescribed by the Syndicate) indicating the period for which the candidate has done research work and that the thesis is worthy of consideration for the award of the Ph.D degree; and
- (c)
  - (i) In the case of a candidate for Faculty of Science the need for the knowledge of foreign languages, as partial requirement for Ph.D thesis shall be determined by the Board of Control of the Department concerned/Board of Studies in Home Science;
  - (ii) The thesis must be a piece of original research work characterised either by the discovery of new facts or for a fresh interpretation of the known facts or theories; in either case it shall give evidence of the candidate's capacity for original research, critical examination and judgement;
  - (iii) The thesis shall be satisfactory in its literary presentation;
  - (iv) The thesis shall indicate how it embodies the results of the candidate's own research or observations and the respects in which the candidate's investigations appear to him to have advanced study the subject;

Provided that :

- (a) A candidate may incorporate in his thesis contents of any work which he may have published on the subject, but he shall not submit, as his thesis, any work for which a degree has been conferred by this or any other University.
- (b) Edited texts of Calendars of unpublished manuscripts, critical editions from original manuscripts of old texts, records or documents of Catalogues Reason of collections of Art when accompanied by adequate introductions and critical apparatus, shall be given the same recognition as original dissertation Arts or Science subjects and for the award of the Doctorate degree it shall be regarded as equally worth consideration.
- (c) The candidate shall declare in writing, duly countersigned by his Supervisor and Head of the Department/Principal, Home Science College, that the thesis is not substantially the same as the one he may have already submitted to another University.
- (d) The thesis shall consist substantially of the candidate's own work under scoring his individual contribution. The candidate may, however, submit in support of his thesis any printed contribution for the advancement of his subject which may be published, independently or conjointly. In the latter case, the candidate shall indicate specifically his personal contribution to the conjoint work duly attested by the supervisor.



**16.** Every candidate shall give an undertaking that he will not \* publish his thesis without the previous permission of the Syndicate. If this permission is granted, the candidate shall supply to the Controller of Examinations three copies of published thesis; one copy to be placed in the University Department concerned/Home Science College condoned and two copies in the University Library. The candidate will also furnish the University with copies of reviews of his publication which, along with the book, shall be brought to the notice of the Syndicate.

**17.1.** The thesis shall be referred to two examiners appointed by the Vice-Chancellor on the recommendations of the Chairperson of the Joint Research Board out of a panel suggested by the Head of the Department/Chairperson of the University Teaching Department and the supervisor of the candidate. The examiners may recommend –

that the thesis be accepted for the award of the Ph.D degree

*or*

that the thesis be rejected

*or*

that the thesis be allowed to be resubmitted with improvement and for this purpose may make such suggestions as they may deem fit. Provided that the candidate shall ordinarily resubmit the revised thesis within one year of the date of supply of comments of the Examiner to him by the University. Special extension may be given by the Dean of University Instruction up to a maximum of one year. The delay in the re-submission of revised thesis after the expiry of special extension period, be condoned up to a maximum of one year by the Joint Research Board.

**17.2.** A thesis shall be resubmitted, if so recommended by the examiners, only once, and it shall be examined by the examiners who assessed the original thesis unless both or any of them are unable or unwilling to do so.

**17.3.** A candidate who is required to resubmit his thesis must do so accompanied by half the amount of fee prescribed in regulation 15.

**17.4.** In case there is a difference of opinion between the two examiners in regard to the acceptance of thesis, the Vice-Chancellor may refer the thesis to another examiner who shall ordinarily be out of the approved panel.

**17.5.** The examiners for a thesis in the Arts, Languages and Design & Fine Arts Faculties shall indicate in their report whether :

the thesis is fit for publication by the candidate in its original or modified form. In the latter case, they may make definite suggestions for improvement.

*or*

the thesis of such an outstanding merit that the University should undertake its publication at its own cost.

**17.6.** Except in the case of a thesis, which is rejected, viva-voce shall be held in accordance with the rules prescribed in this behalf by the Syndicate, before a final decision is given on the thesis. The examiners may send, if they so desire, along with their reports, questions for use by the Board

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\*Permission is not necessary, if only parts of the thesis are to be published in scholarly Journals.

Conducting the oral examination. Such a Board shall consist of three, examiners appointed by the Vice-Chancellor of which the Head of the University Department concerned/Principal, Home Science College concerned and the supervisor of the thesis shall be members. If two out of three members of the Board are present, the viva-voce will be conducted provided that one of them is the external examiner.

**17.7.** A candidate whose thesis is rejected shall not be registered again for the Ph.D. degree with the same subject.

**18.** The reports of both the examiners on the thesis as well as of the other examiners for the viva-voce shall be considered by the Syndicate. The syndicate may decide -

(i) whether the degree of Doctor of Philosophy be awarded;

or

(ii) whether the candidate be required to revise the thesis and resubmit it for re-examination;

or

(iii) whether the thesis be rejected.

**19.** The Controller of Examinations shall notify the result in accordance with the decision of the Syndicate.

**20.** A person already registered for the Ph.D. degree, before these regulations come into force, shall, if he so desires continue to be governed by the regulations, which were in force at the time of registration. The thesis will be entertained under old regulations only if this option has been exercised earlier.

#### TRANSITORY REGULATIONS

The students enrolled for Ph.D. before 14-12-03 will be governed by the old Regulations.

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